



# Forest Carbon Partnership Facility

## **FMT's Report: Progress since PC24**

**Twenty-Fifth Meeting of the Participants Committee (PC25)**

**Washington DC**

**March 26-28, 2018**

# Overview

This Presentation covers updates since **PC24 (Sept 2017 in Luang Prabang, Lao PDR)** on the following:

- Financial Summary
- Portfolio Progress
- Disbursements
- IP/CSO Capacity Building
- Gender Mainstreaming
- Private Sector Engagement
- Sharing Knowledge and Raising Visibility
- Update from the Carbon Fund

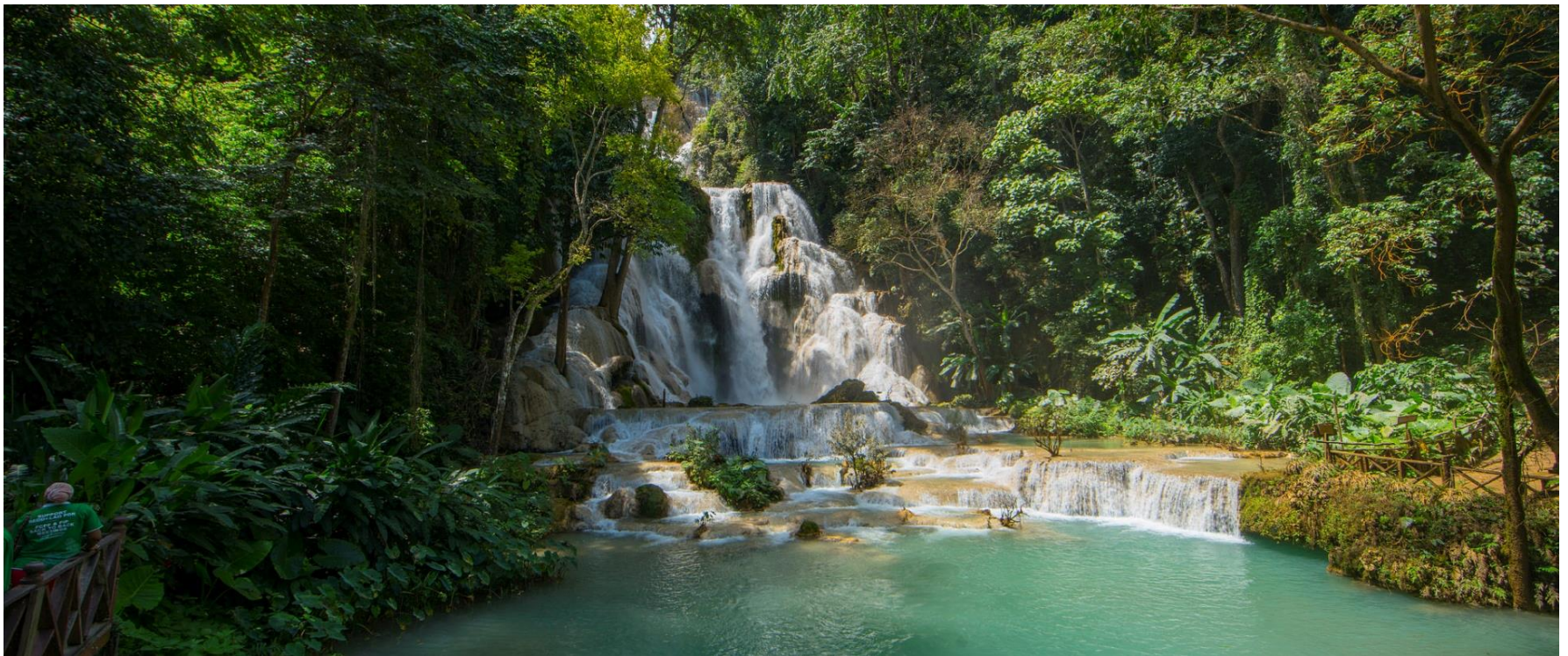


# Financial Summary



# Financial Summary

- A \$180 million contribution from Germany brings the total contributions to the FCPF to approximately **\$1.3 billion**.
  - Readiness Fund: \$399.7 million
  - Carbon Fund: \$897.3 million





# Achievements

# Continued Country Progress on REDD+ Readiness:

## Progress since PC24:

- **1 Readiness Preparation Grant** signed (**Paraguay**).
- **7 Additional Financing Grants** signed (**Bhutan, Cambodia, Guatemala, Nicaragua, Papua New Guinea, Sudan, Uganda**).
- **4 Mid-term Reports** reviewed virtually (**Fiji, Pakistan, Suriname, Vanuatu**), including requests for additional funding.
- **2 Mid-term Reports** to be reviewed at PC25 (**Burkina Faso, Dominican Republic**), including requests for additional funding.
- **2 R-Packages** to be reviewed at PC25 (**Guatemala, Lao PDR**).

# Reporting on Selected Outputs (as per M&E indicators)

Item	M&E Target	Cumulative PC24	Cumulative PC25 (Change since PC24)	Forecast to PC26 (cumulative)
<b>R-PPs</b>	30+ R-PPs by 2015	46	46	46 (max)
<b>Grant agreements</b>	30+ signed grant agreements by 2015	42	43 (Paraguay)	45 (max)

## Remaining REDD+ readiness grants

Gabon	FY18
Kenya	FY18



# Reporting on Selected Outputs (as per M&E indicators)

Item	M&E Target	Cumulative PC24	Cumulative PC25 (Change since PC24)	Forecast (cumulative)
<b>Mid-term progress reports</b>	20+ by 2015; 25+ by 2018	29	35 (Fiji, Pakistan, Suriname, Vanuatu, Burkina Faso*, Dominican Republic*)	37 by PC26
<b>Supplemental Grant for additional \$5 million signed</b>	No target	13	20 (Bhutan, Cambodia, Guatemala, Nicaragua, PNG, Sudan, Uganda)	28 by PC26
<b>R-Packages</b>	2 by 2014; 8 by 2015; 20+ by 2018	14	16 (Guatemala*, Lao PDR+*)	18 by PC26
*to be approved at PC25				





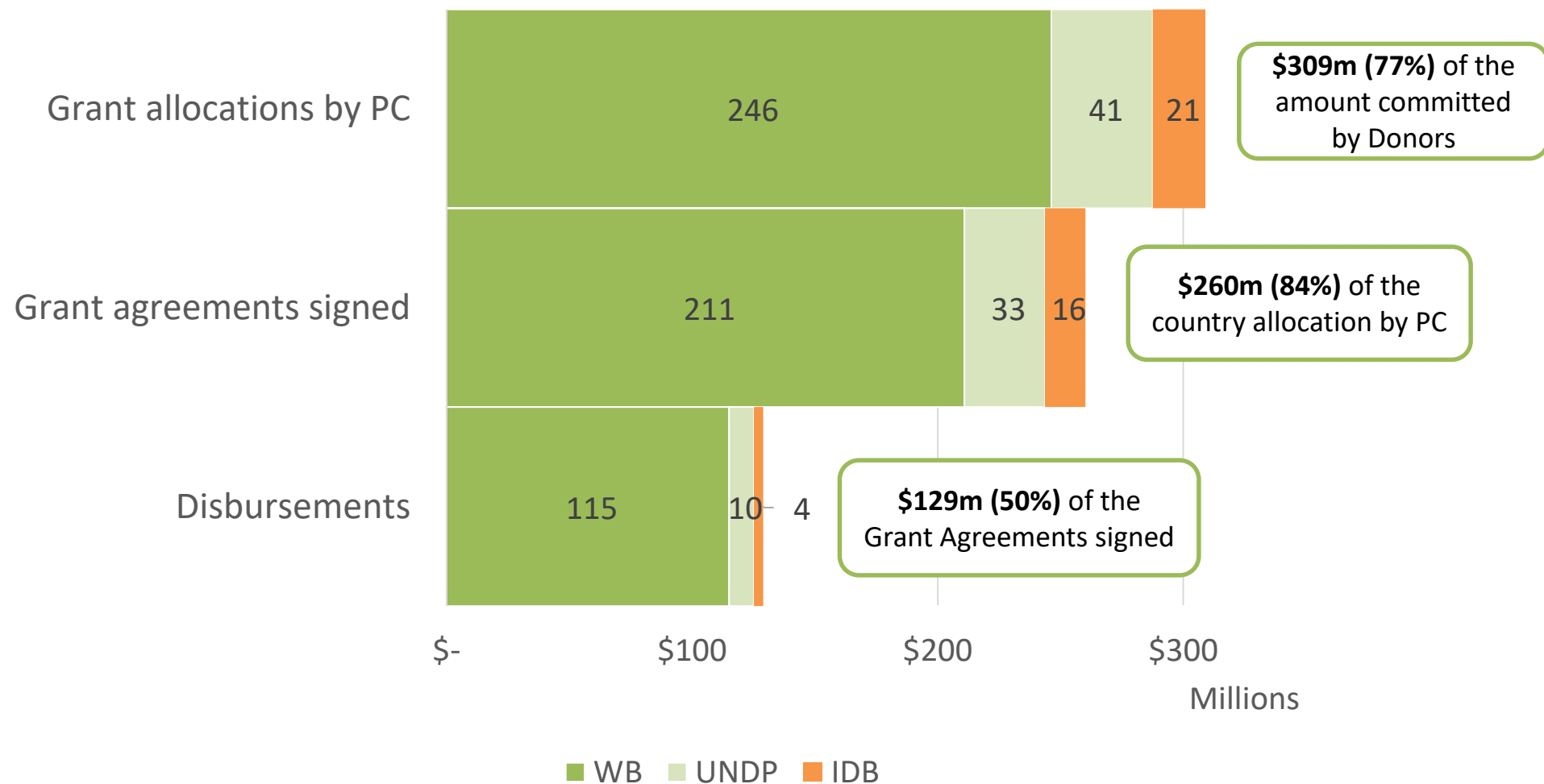
# Disbursements

# Commitments and Disbursements

<b>Commitments and disbursements</b>	<b>Total</b>	<b>WB</b> (all 36 other countries +25 add'l funding grants)	<b>UNDP</b> (Cambodia, Honduras, Panama, Paraguay, PNG, and Suriname)	<b>IDB</b> (Guatemala, Guyana, Peru)
<b>Grant Allocations by the PC</b>	<b>\$309 m</b>	\$246.3 m	\$41.3 m	\$21.4 m
Change since PC24	\$23.3 m	\$20.9 m	\$2.4 m	
<b>Commitments as per signed legal agreements</b>	<b>\$260.1 m</b>	\$210.9 m	\$32.8 m	\$16.4 m
Change since PC24	\$37.3 m	\$18.5 m	\$13.8 m	\$5m
<b>Disbursements</b>	<b>\$129 m</b>	\$115.0 m	\$10.1 m	\$3.8 m
Change since PC24	\$18.4 m	\$18.4 m	\$0 m*	\$0 m*

\*Disbursements available for this update from UNDP and IDB include disbursements through December 31, 2016 only.

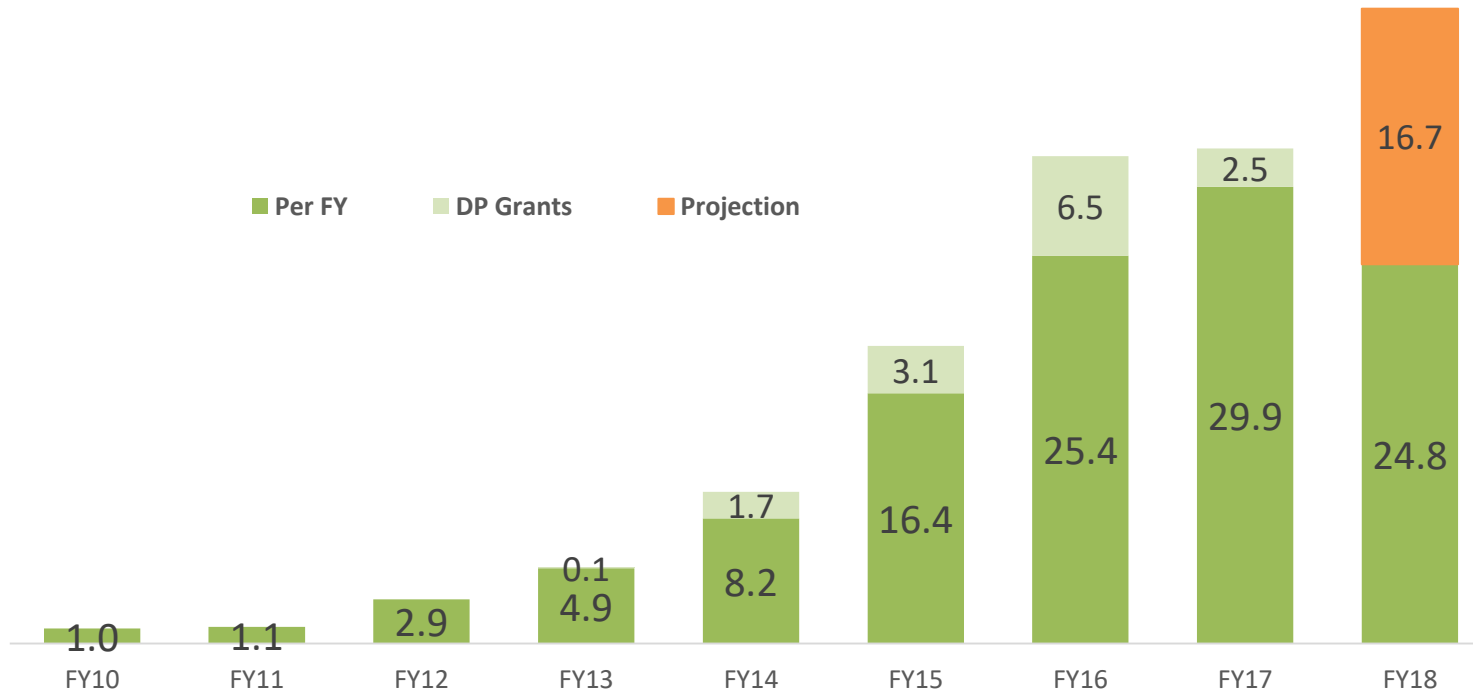
# Commitments and Disbursements



\*Disbursements available for this update from UNDP and IDB include disbursements through December 31, 2016 only.

# Disbursements of Readiness Grants

FCPF Grant Disbursement \$m by Fiscal Year (FY10-18)



Total Grant Disbursements  
to date are **\$129 million**

A lush green forest with a rocky stream flowing through it. The water is clear and shallow, with many rocks visible. The trees are tall and dense, with sunlight filtering through the leaves. The overall scene is peaceful and natural.

# Portfolio Monitoring

# Portfolio Review

## Managing disbursements prior to fund close

- A **new portfolio tracking tool** implemented in early 2018 allows for the more detailed monitoring of deadlines, disbursements, and risks at both the fund and country-level.
- With available resources in the Readiness Fund wholly allocated and the current term of the fund ending in 2020, the FCPF is **proactively managing the limited resources** within the remaining term of the fund.
- Several countries have experienced delays with either Delivery Partners' internal approvals or in-country approvals. While more rigorous portfolio monitoring has resolved some of these delays, in cases where they have not been resolved, **grant funding could be reallocated**.
- During FY17, ineligible expenses totaling approximately \$360,000 were incurred under the grant with Côte d'Ivoire. The government took appropriate remedial action, replacing the staff involved, and have reimbursed these expenditures to the World Bank during FY18.



# Portfolio Review: Readiness Pipeline

Grant	Number of countries	Compared to FY16	Lag time
<b>Lag from PC allocation to approval by Delivery Partner &gt; 3 months</b>			
\$3.8m readiness grant	<b>1</b> (Kenya) (out of 46)	<b>2</b>	<b>1-6+ years</b>
\$5m additional grant	<b>6</b> (Cameroon, Colombia, El Salvador, Guatemala, Honduras, and Peru) (out of 32)	<b>11</b>	<b>6 - 15 months</b>
<b>Lag from approval by Delivery Partner to grant signing/effectiveness &gt; 3 months</b>			
\$3.8m readiness grant	<b>1</b> (Kenya) (out of 46)	<b>1</b>	<b>9 months</b>

# Portfolio Review: Readiness Portfolio

Grant	Number of countries	Compared to FY16	Indicator
<b>High undisbursed balance near end of grant (&lt;6 months from closing date)</b>			
\$3.8m readiness grant	<b>2</b> (Burkina Faso and Pakistan) (out of 43)	<b>2</b>	
<b>Stale Mid-term Reports (&gt; 6 months lag from due date as per legal agreement)</b>			
\$3.8m readiness grant	<b>4</b> (Argentina, Guyana, Thailand, and Uruguay) (out of 43)	<b>3</b>	6+ months overdue



# Portfolio Review: Disbursements

Grant	Number of countries	FY16	Indicator
<b>No disbursements in last 6 months (with grant effective &gt;6 months)</b>			
\$3.8m readiness grant	<b>1</b> (Thailand) (out of 43)	<b>1</b>	
<b>High undisbursed balance (less than 50% disbursed after 2 years)</b>			
\$3.8m readiness grant	<b>5</b> (Burkina Faso, Dominican Republic, Fiji, Pakistan, and Vanuatu) (out of 43)	<b>1</b>	More than 50% undisbursed balance at 24 months of grant effectiveness

A photograph of three men walking on a gravel path next to a river. They are carrying large bundles of bamboo poles on their backs. The man on the left is wearing a black t-shirt with a colorful logo and black pants. The man in the middle is wearing a dark shirt and a patterned sarong. The man on the right is wearing a dark shirt and shorts. In the background, there is a wooden structure made of bamboo poles and a lush green landscape with trees and a river.

# IP/CSO Capacity Building Program

# Capacity Building Program for Forest-Dependent Peoples and Southern CSOs

The CBP is being implemented in three phases:

- **Phase 1**

- Indigenous peoples' and civil society organizations were selected and contracted to carry out specific capacity building activities in line with the overall objectives of the CBP.
- This phase wrapped up in April 2016, after which a Results Report was prepared and publicly shared in July 2017.

- **Phase 2**

- Activities under this phase, **which is now fully operative**, are being implemented according to the World Bank's Small Recipient-Executed Grants Funding Guidelines.
- The first of the six grant-funded regional Projects (for AFR) became effective in July 2016. The sixth and final Project (for CSOs/LCs in Asia-Pacific) became effective in September 2017.

- **Phase 3**

- During PC23, the PC allocated an **additional U\$5M** to the CBP. This amount was subsequently divided up among the six existing projects, and the top-up grants are being processed.

# CBP Phase 2: A Program in Mid-Stream

- All six CBP Phase 2 Projects have been operative and disbursing since September 2017.
- Outreach to 38,000 men, women, and youth concerning REDD+ across the three regions.
- Current Disbursements:
  - For AFR, **80%** for IPs project and **84%** for the CSOs/LCs project
  - For A-P, **51%** for IPs project and **17%** for the CSOs/LCs project
  - For LAC, **64%** for IPs project and **74%** for the CSOs/LCs project
- A Mid-Term Review mission for the A-P IPs project took place in Feb. 2018 in Baguio, Philippines and a Mid-Term Review mission for both AFR projects took place in Jan. 2018 in Nairobi, Kenya.



# Africa: Implementing Organizations



- Both organizations are based in Nairobi and are well recognized by the climate change community, IPOs and CSOs, both in Africa and globally.
- **PACJA** is supporting capacity building sub-projects in **Côte d'Ivoire, Ethiopia, Madagascar, Mozambique and Togo.**
- **MPIDO** is supporting sub-projects in **Cameroon, Ethiopia, Liberia, Nigeria, Republic of Congo, Sudan and Uganda.**

# Example of Africa Sub-Project: Nigeria

## Wise Administration of Terrestrial Environment and Resources (WATER)

- **Audience:** Forest-dependent IP communities in Etung, Obubra and Yakurr Local Government Areas; Etara, Ekuri Eyeyeng, Okokori, Agoi Ekpo and Agoi Ibami.
- **Activities:** School outreach and integration into curricula.
- Distribution of posters and fliers.
- Multi-stakeholder national-level meetings.

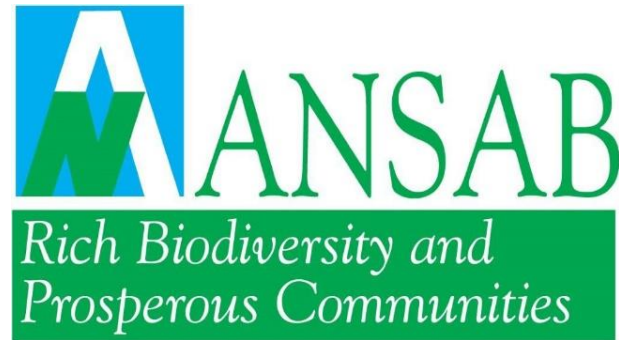


# Focus on Congo Basin IPs



- Dedicated outreach was held with the Central Africa IPs on the sidelines of the Congo Basin Partnership Forum (CBPF). The dialogue explored mechanisms for integrating REDD+ aspects especially in CF countries into the **REPALEAC (Network of Indigenous and Local Communities for the Sustainable Management of Forest Ecosystems in Central Africa)** Strategic Framework. The dialogue explored mechanisms to develop REDD+-related aspects that had been integrated in REPALEAC's Strategic Framework, with a particular focus on Carbon Fund countries.
- Through the CBP, the FCPF will fund activities, at both national and sub-national levels, to support implementation of the relevant pillars and activities of REPALEAC's Strategic Framework.
- Another dialogue will be held in May 2018, to finalize the work plan and to ensure the effective alignment between the FCPF CBP and the Strategic Framework.

# Asia-Pacific: Implementing Organizations



- **Tebtebba** is recognized in the region as a leading research, training, and advocacy institution. It has been engaged in the REDD+ debates and negotiations in the UNFCCC. It is based in the Philippines and is supporting capacity-building sub-projects in **Bhutan, Fiji, Vanuatu** and **Vietnam**.
- **ANSAB** has over 20 years of experience working in the region with significant local knowledge, capacity, and national chapters in the countries. It is based in Nepal and is still gearing up to support sub-projects.



# Example: Asia-Pacific Sub-Project: Fiji

## Soqosoqo Vakamarama (Fiji Indigenous Women's Society)

- **Audience:** IPs from Macuata and Nadroga, Navosa provinces.
- **Activities:** Research on priority ERP sites.
- Baseline assessment of IPs' understanding of REDD+ and case studies on baseline data and misconceptions on REDD+.
- Workshops and training events to bridge identified knowledge gaps.
- Training on national and international REDD+ policy for community representatives.



# Latin America: Implementing Organizations



- **Asociación Sotz'il** is a Mayan organization established in 2004 to support IPs in local, national and international advocacy work related to IP rights as well as to environmental and cultural rights. It is based in Guatemala and is supporting capacity-building sub-projects in **Colombia, Costa Rica, Guatemala, Honduras and Suriname.**
- **ACICAFOC** is an experienced organization formed in 1994 that coordinates work around community-based agroforestry in Central America and beyond. It is based in Costa Rica and is supporting capacity-building sub-projects in **Colombia, Dominican Republic, El Salvador, Guatemala, and Honduras.**

# Example: Latin America Sub-Project: Honduras

## FEHCAFOR

- **Audience:** Civil society organizations across the country.
- **Activities:** Workshops for communities and journalists from local and national media.
- Meetings between government, civil society participants and FEHCAFOR.
- Distribution of informative material.



# Gearing Up for CBP Phase 3

- During PC23, the Committee allocated an additional U\$5M for the CBP, subject to the availability of funding.
  - In mid-July 2017, the FCFP Fund Manager confirmed that the Readiness Fund has this amount available
- This brings the total made available for contracts/grants through the CBP to **U\$9,990,000** since 2008.
  - Of this, a total of **U\$6M** has been made available to IPs, whereas the remaining **U\$3.99M** has been made available to CSOs and LCs
- All remaining amounts (including from Phase 2) will have to be disbursed by end-December 2020, in line with the current end of the Readiness Fund.
- The Additional Financing for one project (CSOs/LCs in Asia-Pacific) has already been processed, together with the original Phase 2 grant.
- Processing of the Additional Financing grants for the remaining five projects is now underway, and is expected to be complete by June 30, 2018.
- For Phase 3, FCPF Carbon Fund countries will be prioritized in both the scale up of existing activities and support, and the initiation of new activities.

A woman in a patterned dress carries a child on her back and a large yellow water jug on her head, walking through a lush green field with palm trees in the background. The scene is set in a rural, tropical environment with a thatched-roof building visible on the left.

# Gender Mainstreaming

# Mainstreaming Gender in REDD+



- Completed a **review of FCPF frameworks, templates, and guidelines** using a “gender lens” and development of gender-sensitive, measurable indicators to address reporting gaps.
- The FCPF continues to align its work with the **Bank’s Gender Strategy (FY16–23)**, to ensure that women are treated as partners in the design and implementation of REDD+ mechanisms and ER programs.
- FY17 was the first year the FCPF had a dedicated budget for gender work, with initial support going to **Ghana, Mexico, Nepal, and Vietnam**. Some of the results of this work are presented in the next slide.

# Mainstreaming Gender in REDD+

- In **Ghana**, IUCN implemented a Gender Analysis and Action Plan (GAP) ,which was designed to provide technical assistance towards mainstreaming gender into the Ghana Cocoa Forest REDD+ Program.
- A behavioral approach to gender in SFM programs in **Mexico** explored approaches to trigger behavioral change, modify social norms, and address gender inequalities in NRM activities, providing stakeholders concrete recommendations for improving men and women’s economic opportunities, and access to and control over assets in rural Mexico through a GAP.
- In **Nepal**, WOCAN organized an inception workshop with the national REDD+ Implementation Center, and other stakeholders. , conducting a Gender Analysis in the ERPD program area. The GAP is being mainstreamed in Nepal’s ERPD.
- Tebtebba conducted a Gender Analysis in **Vietnam** as a part of the SESA process, with a focus on the ER Program area, drafting a GAP as part of the ESMF development for the ERPD.

# Mainstreaming Gender in REDD+

- Building on progress, the FCPF will fund new, country-level initiatives in 2018 in **Vietnam, Costa Rica, and the Dominican Republic**, with the following activities planned:
  - Piloting **gender analysis and integration activities**, to enhance women's participation in the countries' Emission Reductions Programs.
  - Building **capacity** for women's organizations and government institutions and agencies working on gender and REDD+.
  - Developing **Gender Action Plans**, to ensure women benefit directly from all forest and landscape interventions.
  - Reviewing women's participation in REDD+ **decision-making platforms** at subnational and national levels.
  - Ensuring **gender-sensitive indicators and targets** in the monitoring framework for national REDD+ strategies.







# Private Sector Engagement

# Private sector engagement

In response to the evaluation's call for more private sector engagement, the FMT presented a **new private sector strategy** and a **dedicated budget** to support the following activities:

- Explore collaborative opportunities with relevant networks.
- Prepare topical deep dives and knowledge products to inform private sector strategies.
- Provide program-specific private sector engagement support.
- Create and fill a Private Sector Focal Point position.
- Support an increased role for the Private Sector Observer.



The following slides provide an update on where we are with each of these “pillars” of the strategy.

# Private Sector Engagement Work Plan

## **Explore collaborative opportunities with relevant networks**

- Exploring options to partner with Tropical Forest Alliance (TFA); Colombia; Implementation Dialogue in Ghana (May 2018).
- Cocoa developments: Building on cocoa study, deepening engagement with World Cocoa Foundation and Cocoa companies; led to Launch of the Joint Frameworks for Action of the Cocoa and Forests Initiative (government and private sector) at COP23.
- Collaborating with World Cocoa Foundation to co-host 1-2 Cocoa Dialogues (Africa and Latin America) using TFA's Implementation Dialogue format, but tailored toward CF programs (for which cocoa could be a key element of forest protection and sustainable production).

## **Topical Deep Dives and Knowledge Products to inform private sector strategies**

- Presenting on Nesting Paper (Donna Lee) at CF17.
- Considering Background Paper on Cocoa Dialogues which would provide overview of cocoa operations, impact on forests, and potential opportunities in CF portfolio countries.

# Private Sector Engagement Work Plan

## Program-Specific Private Sector Engagement Support

- Completing overview of strongest PS opportunities in CF portfolio; based on an initial review, deeper assessments in selected countries will be initiated.
  - A number of countries have been identified as potential high capacity for PS partnership for ER production and sustainable supply chain partnership.
  - Number of countries identifying following as key drivers/opportunities: cocoa (8), palm oil (6), timber (6), coffee (5).
- Collaborating with Commodities/Jurisdiction Initiative: working with private sector members to identify overlap between CF programs and commodities sourced/produced/marketed by member companies, for the purpose of determining priority matches and pursuing partnerships.
- MOU between Cameroon and American company Taylor Guitars signed at COP, outlining a collaboration on sustainable ebony sourcing and planting with a community agroforestry model in the ER-Program area.
- Madagascar completed private sector investment blueprint, identified cocoa and cloves projects with high PS investment and ER potential.

# Private Sector Engagement Work Plan



## Support increased role of private-sector FCPF Observer

- Working with Meridian to implement a transparent selection process for both the current and *ad hoc* (e.g., agribusiness-focused) PS Observer positions, with interviews underway.

## Private Sector Focal Point Position

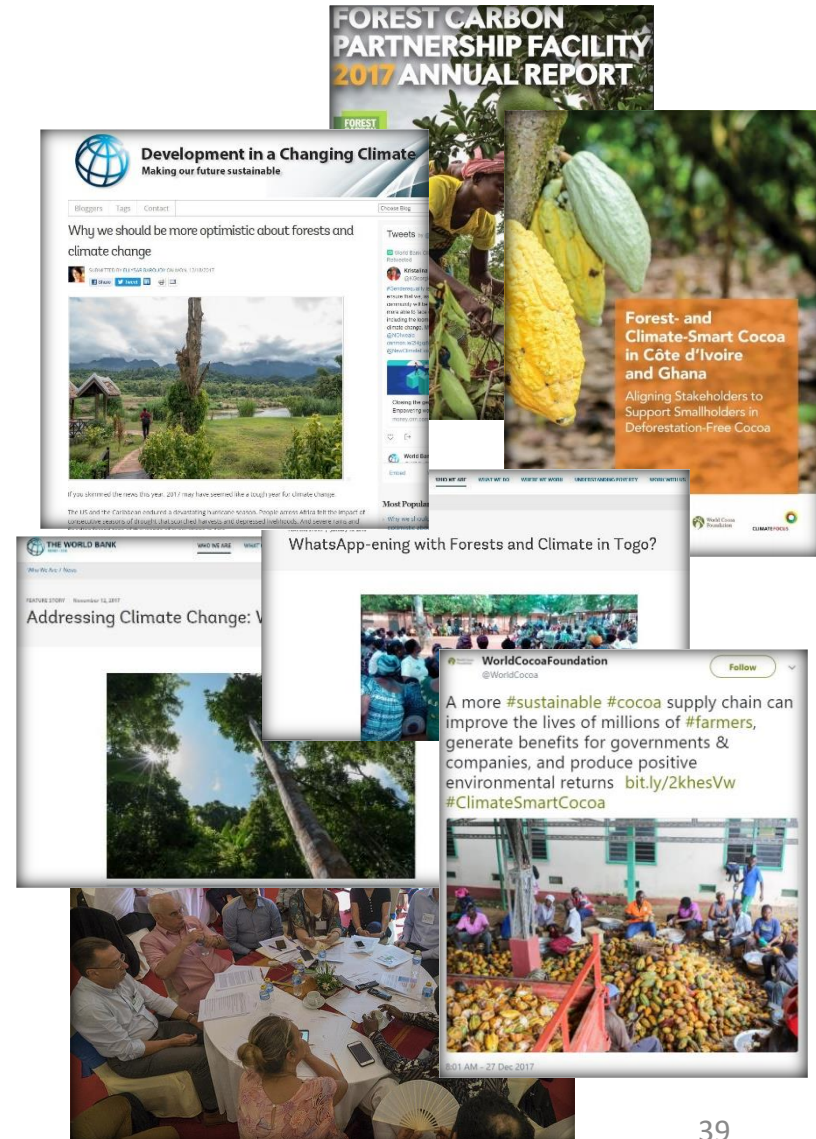
- Welcome to Jean-Dominique Bescond, formerly of OLAM, to FMT in February.

A close-up photograph of a person's hands holding an open brochure. The brochure features a flowchart with three green boxes and arrows on the left page, and a circular diagram with various colored segments on the right page. The text on the brochure is partially obscured by a large white text overlay. The background is blurred, showing other people's hands and clothing.

# Sharing Knowledge & Raising Visibility

# Communications and Knowledge-Sharing

- Supported **South-South Knowledge Exchanges** focused on ‘REDD+ Readiness’ and ‘Emission Reductions Program design’ hosted in Brazil, Ghana, and Togo.
- Report on “**Forest and Climate-Smart Cocoa in Cote d’Ivoire and Ghana**”.
- **Joint knowledge day (Laos)** with the CIF’s Forest Investment Program to share best practices and lessons learned.
- High impact **social media outreach** amplifies our messages. Partners and ‘influencers’, inside and outside the WB are taking note and sharing our narratives.
- Looking ahead to celebrating **FCPF 10 year anniversary** – products, stories, events.



# Communications and Knowledge-Sharing

## A continuous stream of strategic features and blogs keep forests and REDD+ highly visible online

- **March, 2018:** “Why we’re betting on Forests”
- **February, 2018:** “How sustainable guitars are ‘instrumental’ to the future of Cameroon’s forests”
- **January, 2018:** “A recipe for protecting the Democratic Republic of Congo’s forests”
- **January, 2018:** “WhatsApp-ening with forests and climate in Togo? ”
- **December, 2017:** “Forest- and farmer-friendly cocoa in West Africa ”
- **December, 2017:** “Forest- and Climate-Smart Cocoa in Côte d’Ivoire and Ghana: Aligning Stakeholders to Support Smallholders in Deforestation-Free Cocoa”
- **December, 2017:** “Why we should be more optimistic about forests and climate change ”
- **November, 2017:** “Public-private action on using land sustainably”
- **November, 2017:** “Addressing climate change: why forests matter”
- **October, 2017:** “Being inspired by gender actions in forest landscapes around the world”





# Methodology (GFOI Collaboration)

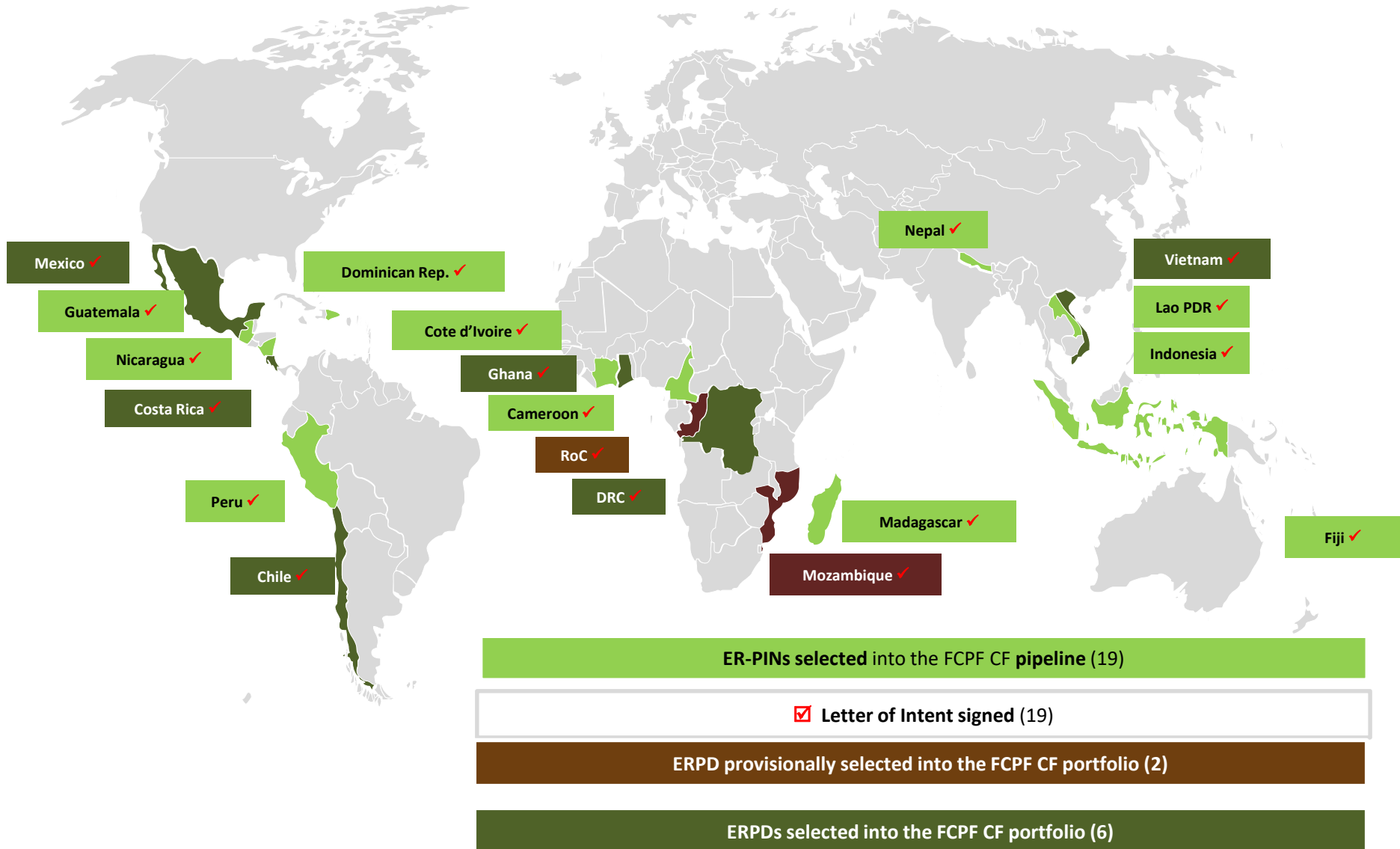
- The World Bank joined the **Global Forests Observation Initiative (GFOI) Leads group**, strengthening collaboration with development partners.
- FMT is developing technical guidance under GFOI to support REDD+ countries in their program implementation. Areas of focus include the following:
  - Activity data estimation
  - Uncertainty analysis
  - Emission factors
- The collaboration supported the development of a country needs assessment process, with a pilot workshop in Togo. This will be scaled more broadly.





# Carbon Fund Update

# Carbon Fund Pipeline and Portfolio



# Pipeline and Portfolio

## Updates on countries provisionally selected into the portfolio:

- **Costa Rica** submitted revised ERPD per resolution [CFM14/2016/2](#) and having received no objections from CFPs was accepted into the portfolio.
- **Mexico** submitted revised ERPD per resolution [CFM15/2016/2](#) and having received no objections from CFPs was accepted into the portfolio.
- **Republic of Congo** submitted a revised ERPD as per Resolution [CFM/16/2017/2](#) and information on the conditions set. The FMT is currently reviewing the fulfilment of conditions.

## Countries selected into the portfolio in CF17:

- **Vietnam** was accepted into the Carbon Fund Portfolio (Resolution [CFM/17/2018/2](#)).
- **Mozambique** was accepted provisionally into the Carbon Fund Portfolio ([CFM/17/2018/1](#)).

# ERPA Preparation

## ERPA Preparations Workshops:

- ERPA preparations workshops were held in **Ghana** in September, bringing the total to five (workshops for Mexico, Chile, Costa Rica, and DRC were held prior to PC24). Workshops are planned for **Mozambique** and **Vietnam**.

## WBG Decision Meetings:

- Decision meetings were held for the FCPF programs in **Mexico** (November), **Chile** (December), and **Costa Rica** (March).
- The decision meeting for **Ghana** will be held in April and the one for **Vietnam** will be scheduled.

## Expected ERPA Signatures:

- Plan to sign 1-2 ERPAs by end of FY18.

# ERPD Technical Assessments



## Technical Assessments completed or underway for 5<sup>th</sup> batch of ERPDs:

- **Lao PDR's** advanced draft ERPDs have been submitted for TAP review.
- **Madagascar, Nepal, and Nicaragua's** advanced draft ERPDs and respective initial TAP assessment reports have already been posted.

## Expected 6<sup>th</sup> batch of ERPDs:

- Submission of 2 draft ERPDs (**Indonesia, Côte d'Ivoire**) expected for presentation and potential selection at CF19.

# Updates from the Carbon Fund

Several important updates will be presented during dedicated sessions at this meeting:

- **Registries:** the FMT has conducted a survey on transaction registries in the CF countries, analyzed the results and produced an analytical note, including countries' decisions and needs
- **Verifications:** A list of potential independent reviewers that will conduct verifications under the Carbon Fund will be presented for approval.
- **CORSIA:** FMT will present an update on the Carbon Offsetting and Reduction Scheme for International Aviation (CORSIA) under the UN International Civil Aviation Organization (ICAO).
- Update on a **TOR for REDD Country Observers** for Carbon Fund.





# Concluding Messages



# Concluding Messages

- Over the coming months, FMT will focus hard on **signing the first ERPA's**.
- FMT will continue to emphasize establishing the **building blocks** for Emission Reductions programs and providing **bespoke tools and knowledge for country programs**, building on our extensive experience and evidence.
- The FMT strongly encourages all countries, including those not in the current Carbon Fund Pipeline to **develop R-Packages**. This would signal the successful completion of readiness, and **with investment plans**, allows countries to potentially access other RBF streams like the GCF and opportunities with the private sector, bilaterals, and others.
- The FMT will continue marshalling its efforts to ensure that **IPs, CSOs, and women are well represented** in our programs and have an active role in guiding their success.
- The FCPF will **continue to work closely with other actors and initiatives** to make sure that financing and technical modalities are aligned, providing countries with the support they need to drive the REDD+ agenda ahead.
- With a well-managed disbursement process, FY19 and FY20 will likely see a flurry of activity as countries present their MTRs and R-packages before the **2020 close of the Readiness Fund**.



**Thank You!**

**[forestcarbonpartnership.org](http://forestcarbonpartnership.org)**